

**UTILITY  
PATENT APPLICATI  
TRANSMITTAL**

for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No. 211402US2

First Inventor or Application Identifier Noriyuki WANO

Title OBJECTIVE LENS DRIVE APPARATUS FOR USE IN OPTICAL PICKUP

Assignee Name: TDK CORPORATION

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JN997 U.S.P.T.O.  
06/09/2001 3752

07/13/01

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

- |  |   |
|--|---|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17)<br>(Submit an original and a duplicate for fee processing)  |   |
| 2. <input checked="" type="checkbox"/> Specification   | Total Sheets <span style="border: 1px solid black; padding: 2px;">60</span> |
| 3. <input checked="" type="checkbox"/> Drawing(s)<br>(35 U.S.C. 113)   | Total Sheets <span style="border: 1px solid black; padding: 2px;">24</span> |
| 4. <input checked="" type="checkbox"/> Oath or Declaration   | Total Pages <span style="border: 1px solid black; padding: 2px;">4</span>   |
| a. <input checked="" type="checkbox"/> Newly executed (original)   |   |
| b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d))<br>(for continuation / divisional w/ box 17 completed)  |   |
| i. <input type="checkbox"/> DELETION OF INVENTOR(S)<br>Signed statement attached deleting inventor(s) named in<br>the prior application, see 37 C.F.R. §1.63(d)(2) and<br>1.33(b). |   |
| 5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer<br>Program (Appendix)   |   |
| 6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission<br>(if applicable, all necessary)   |   |
| a. <input type="checkbox"/> Computer Readable Form (CRF)   |   |
| b. Specification or Sequence Listing on:   |   |
| i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or  |   |
| ii. <input type="checkbox"/> Paper   |   |
| c. <input type="checkbox"/> Statements verifying identity of above copies  |   |

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

Continuation     Divisional     Continuation-in-part (CIP)    of prior application no.:

Prior application information: Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

- This application is a  Continuation  Division  Continuation-in-part (CIP)  
of application Serial No. Filed on
- Which was published in English
- Which was not published in English
- This application claims priority of provisional application Serial No. Filed

19. CORRESPONDENCE ADDRESS



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Docket No. 211402US2

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

INVENTOR(S) Noriyuki KAWANO

SERIAL NO: New Application

FILING DATE: Herewith

FOR: OBJECTIVE LENS DRIVE APPARATUS FOR USE IN OPTICAL PICKUP

**FEES TRANSMITTAL**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	41 - 20 =	21	x \$18 =	\$378.00
INDEPENDENT CLAIMS	6 - 3 =	3	x \$80 =	\$240.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$270 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
			BASIC FEE	\$710.00
			TOTAL OF ABOVE CALCULATIONS	\$1,328.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
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<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
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The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030.  
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Respectfully Submitted,

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